

ANNEX VI¹

Template of a programme for the AMIF, the ISF and the BMVI – Article 16(3)

CCI number	
Title in English	[255 characters ²]
Title in the national language	[255]
Version	
First Year	[4]
Last Year	[4]
Eligible from	
Eligible until	
Commission Decision Number	
Commission Decision Date	
Member State amending decision number	
Member State amending decision entry into force date	

1. Programme strategy: main challenges and policy responses

Reference: Article 17(3)(a)(ii bis), (iii), (iv) and (vii) and CPR

This section explains how the programme will address the main challenges identified at the national level based on local, regional and national needs assessments and/or strategies. It provides an overview of the state of implementation of relevant EU acquis and the progress achieved on EU action plans, and describes how the Fund will support their development through the programming period.

Text field [15 000]

¹ Without prejudice to further alignment in relation to the outcome of interinstitutional negotiations on the articles of the CPR and the fund-specific regulations.

² Number in square brackets refer to number of characters *without spaces*.

2. Specific objectives (repeated for each specific objective other than technical assistance)

Reference: Article 17(2) and 17(4)

2.1. Title of the specific objective [300]

2.1.1. Description of a specific objective

This section describes, for each specific objective, the initial situation, main challenges and proposes responses supported by the Fund. It describes which implementation measures are addressed with the support of the Fund; it provides an indicative list of actions within the scope of Articles 3 and 4 of the AMIF, ISF or BMVI Regulations.

In particular: For operating support, it provides a justification in line with Article 15³ of the ISF Regulation, Articles 15 and 16 of the BMVI Regulation or Article 18 of the AMIF Regulation. It includes an indicative list of beneficiaries with their statutory responsibilities, main tasks to be supported.

~~*[For specific actions, it describes how the action will be carried out and provides justification for the allocated amount. In addition, for joint specific actions, the lead Member State lists the participating Member States, including their role and, if applicable, their financial contribution.*~~

~~*For emergency assistance, it describes how the action will be carried out and provides justification for the allocated amount.*~~⁴

Planned use of financial instruments, if applicable.

AMIF only: resettlement and solidarity shall be presented separately.

Text field (16 000 characters)

2.1.2 Indicators

Reference: Article 17(4)(e) CPR

Table 1: Output indicators					
Specific objective	ID [5]	Indicator [255]	Measurement unit	Milestone (2024)	Target (2029)

Table 2: Result indicators

³ Some modifications are due to the alignment with Fund-specific Regulations (eg. change of numbering in the Fund-specific regulations after the adoption of the CPR proposal).

⁴ COM proposed to replace the bracketed text with appendix 3. If appendix 3 is not included, elements in brackets under 2.1.1 have to remain.

Specific objective	ID [5]	Indicator [255]	Measurement unit	Baseline or reference value	Reference year	Target (2029)	<u>[Measurement unit for target]</u> ⁵	Source of data [200]	Comments [200]

2.1.3 Indicative breakdown of the programme resources (EU) by type of intervention

Reference: Article 17(5) CPR and Article 12(15) of the BMVI Regulation or Article 12(9) ISF Regulation or Article 13(9) AMIF Regulation

Table 3			
Specific objective	Type of intervention	Code	Indicative amount (Euro)

2.1.4 Technical assistance

Reference: Article 17(3)(e); Article 30(5) CPR; Article 32 CPR; Article 89 CPR;

Text field [5 000] (Technical assistance pursuant to Article 30(5) CPR)
Text field [3000] (Technical assistance pursuant to Article 32 CPR)

Table 4		
Type of intervention	Code	Indicative amount (Euro)

3. Financial plan

Reference: Article 17(3)(f)

3.1. Financial appropriations by year

Table 5: Financial appropriations by year								
Fund	2021	2022	2023	2024	2025	2026	2027	Total

⁵ The Council's partial mandate added this column.

3.2 Total financial allocations

Table 6: Total financial allocations by fund and national contribution								
Specific objective	Type of action	Basis for calculation EU support (total or public)	EU Union contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total e=(a)+(b)	Co-financing rate (f)=(a)/(e)
					public (c)	private (d)		
Specific objective 1	<i>Actions co-financed in line with Article 11(1) of ISF or BMVI Regulation or Article 12(1) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(2) of ISF or BMVI Regulation or Article 12(2) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(3) of ISF or BMVI Regulation or Article 12(3) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(4) of ISF or BMVI Regulation (excluding Special Transit Scheme) or Article 12(4) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(4) of BMVI Regulation (Special Transit Scheme)</i>							
	<i>Actions co-financed in line with Article 11(5) of ISF or BMVI Regulation or Article 12(5) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 16 of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 17 of AMIF Regulation</i>							
Total for SO 1								

SO 2	<i>Actions co-financed in line with Article 11(1) of ISF or BMVI Regulation or Article 12(1) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(2) of ISF or BMVI Regulation or Article 12(2) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(3) of ISF or BMVI Regulation or Article 12(3) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(4) of ISF or BMVI Regulation</i>							
	<i>Actions co-financed in line with Article 11(5) of ISF or BMVI Regulation or Article 12(5) AMIF Regulation</i>							
Total for SO 2								
SO 3	<i>Actions co-financed in line with Article 11(1) of ISF Regulation or Article 12(1) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(2) of ISF Regulation or Article 12(2) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(3) of ISF Regulation or Article 12(3) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(4) of ISF Regulation or Article 12(4) of AMIF Regulation</i>							
	<i>Actions co-financed in line with Article 11(5) of ISF Regulation or Article 12(5) of AMIF Regulation</i>							

Total for SO 3								
TA pursuant to Article 30(5) CPR								
TA pursuant to Article 32 CPR								
Grand total								

Table 8 [AMIF only]	Number of persons per year						
	2021	2022	2023	2024	2025	2026	2027
Resettlement							
Humanitarian admission							
[other categories]							

[Table 8 Transfers between shared management funds*]

Receiving fund / instrument \ Transferring fund / instrument	AMIF	ISF	BMVI	ERDF	ESF+	CF	EMFF	Total
AMIF								
ISF								
BMVI								
Total								

* Cumulative amounts for all transfers during programming period.

[Table 9 Transfers to instruments under direct or indirect management*]	Transfer amount
Instrument 1[name]	
Instrument 2[name]	
Total	

* Cumulative amounts for all transfers during programming period

4. Enabling conditions

Reference: Article 17(3)(h)

Table 10					
Enabling condition	Fulfilment of enabling condition	Criteria	Fulfilment of criteria	Reference to relevant documents	Justification
		Criterion 1	Y/N	[500]	[1000]
		Criterion 2			

5. Programme authorities

Reference: Article 17(3)(j), Article 65 and 78 CPR

Table 11	Name of the institution [500]	Contact name and position [200]	e-mail [200]
Managing authority			
Audit authority			
Body which receives payments from the Commission			

6. Partnership

Reference: Article 17(3)(g) CPR;

<i>text field [10 000]</i>

7. Communication and visibility

Reference: Article 17(3)(i) CPR, Article 42(2) CPR

<i>Text field [4 500]</i>

8. Use of unit costs, lump sums, flat rates and financing not linked to costs

Reference: Articles 88 and 89 CPR

<i>[Intended use of Articles 88 and 89]⁶</i>	<u>YES</u>	<u>NO</u>
<i>From the adoption programme will make use of reimbursement of the Union contribution based on unit costs, lump sums and flat rates under the priority according to Article 88 CPR (if yes, fill in Appendix 1)</i>	<input type="checkbox"/>	<input type="checkbox"/>
<i>From the adoption programme will make use of reimbursement of the Union contribution based on financing not linked to costs according to Article 89 CPR (if yes, fill in Appendix 2)</i>	<input type="checkbox"/>	<input type="checkbox"/>

⁶ The Council's partial mandate replaced the table with a different one, in the same manner as in the Annex V.

Appendix 1: [Union contribution based on unit costs, lump sums and flat rates]⁷

Template for submitting data for the consideration of the Commission
(Article 88)

Date of submitting the proposal	
Current version	

⁷ *The Council's partial mandate changed the title of the appendix, linked to block 6.*

A. Summary of the main elements

<i>Fund</i> ⁸	<i>Specific objective</i> ⁹	Estimated proportion of the total financial allocation within the priority to which the SCO will be applied in % (estimate)	Type(s) of operation		Corresponding indicator name(s)		Unit of measurement for the indicator	Type of SCO (standard scale of unit costs, lump sums or flat rates)	Corresponding standard scales of unit costs, lump sums or flat rates
			Code	Description	Code	Description			

⁸ Alignment with COM proposal for Annex VI

⁹ Alignment with COM proposal for Annex VI

B. Details by type of operation (to be completed for every type of operation)

Did the managing authority receive support from an external company to set out the simplified costs below?

If so, please specify which external company: Yes/No – Name of external company

Types of operation:

1.1. Description of the operation type	
1.2 /specific objective ¹	
1.3 Indicator name ²	
1.4 Unit of measurement for indicator	
1.5 Standard scale of unit cost, lump sum or flat rate	
1.6 Amount	
1.7 Categories of costs covered by unit cost, lump sum or flat rate	
1.8 Do these categories of costs cover all eligible expenditure for the operation? (Y/N)	
1.9 Adjustment(s) method	
11.10 Verification of the achievement of the unit of measurement - describe what document(s) will be used to verify the achievement of the unit of measurement - describe what will be checked during management verifications (including on-the-spot), and by whom - describe what arrangements there are to collect and store the data/documents described	
1.11 Possible perverse incentives or problems caused by this indicator, how they could be mitigated, and the estimated level of risk	

¹ ¹ The Council's partial mandate deleted the text in [].

² Several complementary *common* indicators (for instance one output indicator and one result indicator) are possible for one type of operation. In these cases, fields 1.3 to 1.11 should be filled in for each indicator.

1.12 Total amount (national and EU) expected to be reimbursed	
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C: Calculation of the standard scale of unit costs, lump sums or flat rates*

1. Source of data used to calculate the standard scale of unit costs, lump sums or flat rates (who produced, collected and recorded the data; where the data are stored; cut-off dates; validation, etc.).

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2. Please specify why the proposed method and calculation is relevant to the type of operation:

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3. Please specify how the calculations were made, in particular including any assumptions made in terms of quality or quantities. Where relevant, statistical evidence and benchmarks should be used and attached to this annex in a format that is usable by the Commission.

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4. Please explain how you have ensured that only eligible expenditure was included in the calculation of the standard scale of unit cost, lump sum or flat rate.

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5. Assessment of the audit authority(ies) of the calculation methodology and amounts and the arrangements to ensure the verification, quality, collection and storage of data.

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** Justifications on the underlying data, the calculation methodology and resulting rate or amount and related assessment by the audit authority [(in points 1, 3 and 5)] are not required when the simplified cost options submitted in this Appendix are established at Union level [(other policies or through the DA referred to in Article 88(4)].*

Appendix 2: [Union contribution based on financing not linked to costs]³

Template for submitting data for the consideration of the Commission

(Article 89)

Date of submitting the proposal	
Current version	

³ *The Council's partial mandate amended the title, linked to block 6.*

A. Summary of the main elements

<i>Fund</i> ¹³	<i>Specific objective</i> ¹⁴	<i>The amount covered by the financing not linked to costs</i>	Type(s) of operation	Conditions to be fulfilled/results to be achieved	Corresponding indicator name(s)		Unit of measurement for the indicator	<i>[Envisaged reimbursement to the beneficiaries]</i> ¹⁵
					Code	Description		
The overall amount covered								

¹³ Alignment with COM proposal for Annex VI

¹⁴ Alignment with COM proposal for Annex VI

¹⁵ The Council partial mandate added this column.

B. Details by type of operation (to be completed for every type of operation)

Types of operation:

1.1. Description of the operation type			
1.2 Specific objective ¹⁶			
1.3 Conditions to be fulfilled or results to be achieved			
1.4 Deadline for fulfilment of conditions or results to be achieved			
1.5 Indicator definition for deliverables			
1.6 Unit of measurement for indicator for deliverables			
1.7 Intermediate deliverables (if applicable) triggering reimbursement by the Commission with schedule for reimbursements	Intermediate deliverables	Date	Amounts
1.8 Total amount (including EU and national funding)			
1.9 Adjustment(s) method			
1.10 Verification of the achievement of the result or condition (and where relevant, the intermediate deliverables) - what document(s) will be used to verify the achievement of the result or condition? - describe what will be checked during management verifications (including on-the-spot), and by whom. - what arrangements to collect and store the data/documents described?			
1.10a <i>Does the grant provided by Member State to beneficiaries take the form of financing not linked to costs? [Y/N]</i> ¹⁷			

¹⁶ Alignment to Annex VI

¹⁷ The Council's partial mandate added point 1.10a, which COM is proposing to amend in order to improve clarity.

1.11 Arrangements to ensure the audit trail

Please list the body(ies) responsible for these arrangements.

[Appendix 3: Thematic Facility]¹

<i>Procedure reference / Specific objective</i>	<i>Modality: Specific action/ emergency assistance/ resettlement/ support to Member States contributing to solidarity</i>	<i>Type of intervention</i>	<i>EU contribution (EUR)</i>
<type='N' input='M'>	<type='S' input='S'>	<type='S' input='S'>	<type='N' input='M'>
<i>Description of the action</i>	[text]		
<i>Member State submits a thematic facility amendment /declines</i>		<i>Date: <type='N' input='M'> Submit/Decline: <type='S' input='S'></i>	
<i>Comment (if Member State declines or if indicators targets and millstones are not updated a justification should be encoded; tables 3, 5 and 6 should be revised)</i>		[text]	

¹ Pending agreed approach to the thematic facility under Fund-specific Regulations